



www.CoverX.com

Producer: _____

Producer Is: Wholesaler Retailer

Address: _____

Telephone: _____

Fax: _____

Excess & Surplus Lines License No.: _____

Email: _____

Proposed Effective Date: _____

If Renewal, Provide Current Policy No.: _____

TEXAS

311 S. JUPITER, SUITE 200
ALLEN, TEXAS 75002
(214) 495-7717 Telephone
(214) 495-7062 Fax
covertx@coverx.com Underwriting Email

BOSTON

TEN POST OFFICE SQUARE, SUITE 705 NORTH
BOSTON, MASSACHUSETTS 02109
(617) 426-6262 Telephone
(617) 426-8488 Fax
coverxma@coverx.com Underwriting Email

CALIFORNIA – COVERX INSURANCE SERVICES – License #0573926

3240 EL CAMINO REAL, SUITE 110
IRVINE, CALIFORNIA 92602
(888) 536-6440 Telephone
(888) 451-1996 Fax
coverxca@coverx.com Underwriting Email

WASHINGTON

720 OLIVE WAY, SUITE 840
SEATTLE, WASHINGTON 98101
(206) 624-6335 Telephone
(866) 684-6140 Fax
coverxwa@coverx.com Underwriting Email

GEORGIA

PARKSIDE TERRACE WEST, SUITE 100
3780 MANSELL ROAD
ALPHARETTA, GEORGIA 30022
(770) 649-9450 Telephone
(877) 340-7545 Fax
coverxga@coverx.com Underwriting Email

Resident or Non-Resident Surplus Lines Licensee Information for Applicant’s State of Domicile:

SL License State: _____

SL License No.: _____

SL License Expiration Date: _____

SL Licensee Name: _____

Affiliation with Producer (e.g., Owner, Executive Officer, Employee): _____

SL Licensee Agency Name (if Entity License): _____

APPLICATION FOR SPECIFIED PRODUCTS AND COMPLETED OPERATIONS INSURANCE

APPLICANT’S INSTRUCTIONS:

- Answer all questions. If the answer to any question is NONE, please state “NONE.”
- Application must be signed and dated by owner, partner, or officer of Applicant firm.

1. APPLICANT:

a. Full name of all entities to be Named Insured: _____

b. Principal Address: _____

c. Corporation Partnership Proprietorship Other _____

d. Years in business under present name: _____

e. Describe present or prior affiliation with other firms: _____

- f. Proposed effective date for this insurance: _____
- g. Estimate for new policy year: SALES/GROSS RECEIPTS \$ _____

2. **SPECIFIED PRODUCTS AND COMPLETED OPERATIONS:**

- a. Only those products and services specified below will be considered for coverage.

Product/Service	Applicant acts as:					No. Yrs.	% of Gross Sales	Does Applicant:		Applicant sells to:				
	M	W	R	I	MR			Install?	Repair or Service	W	R	MR	C	O

M= Manufacturer R= Retailer MR= Manufacturers Rep O= Other _____
W= Wholesaler I = Importer C = Consumer Direct

For each product listed above please include, by addendum if necessary, a complete description of the product, including details of the intended use of the product. Also, please attach copies of product brochures or other product advertisements, including stated warranties, guarantees and warning labels or cautionary notices.

- b. Have you discontinued, or are you considering discontinuing any product to be covered by this insurance? Yes .. No ..
- c. Do you import parts? Yes .. No ..
- d. Do you export products or have foreign operations? Yes .. No ..
- e. Are any of your products or services known to be used in conjunction with aircraft/missiles/aerospace? Yes .. No ..
- f. Are any of your products or services subject to registration and/or regulation and/or review by any government agency? Yes .. No ..

PLEASE EXPLAIN ANY "YES" ANSWERS: _____

3. **CLAIM HISTORY: 5 Years or More**

- a. Total aggregate losses, from the ground up, including defense costs:

Policy Period	# of claims	Loss Paid	Expense Paid	Loss Reserve	Expense Reserve	Total Incurred

b. Describe all losses valued \$5,000.00 or more from the ground up, including defense costs: _____

c. Are you aware of any other incidents, conditions, circumstances, defects or suspected defects which may result in claims against you? Yes No
If yes, give details: _____

4. **SALES AND MARKETING:**

a. Total Sales or Receipts for all products and services:
Past 12 months \$ _____ 1ST Prior Year \$ _____ 2nd Prior Year \$ _____
Describe any significant change in product sales mix between any prior year and next year's projection: _____

b. Distribution of Products by Region: West Coast _____% East Coast _____% Midwest _____%
Southeast _____% Southwest _____% Other _____%

c. Do you wish to provide your customers with Vendors coverage? Yes No

d. Do you wish to be insured against Purchase Order contractual liability exposure? Yes No

5. **PROCESSING AND QUALITY CONTROL:**

a. Processing

- (1) Do others manufacture, assemble, package or install products under your name or label? Yes No
- (2) Do you manufacture, assemble, package or install products under their name or label? Yes No

PLEASE EXPLAIN ALL "YES" ANSWERS: _____

b. Quality Control and Record Keeping

- (1) How long are quality control and testing records kept? _____
- (2) Are written quality control and testing procedures followed? Yes No
- (3) Can you identify your product from those of competitors? Yes No
- (4) Do your records indicate when each product was manufactured? Yes No
- (5) Do your records show to whom and the date each product was sold? Yes No
- (6) Do your records show who supplied the component parts going into your products? Yes No
- (7) Do you require certificates evidencing Products Liability insurance from suppliers? Yes No

PLEASE EXPLAIN ALL "NO" ANSWERS: _____

LOSS PREVENTION, LOSS CONTROL, CLAIM DEFENSE:

- a. Who designs your products? _____
Relationship to Applicant firm: _____
- b. Are designs reviewed, tested, and verified by others? Yes No
If yes, please identify by whom: _____
- c. Do you maintain records of changes in designs, advertisements and sales brochures? Yes No
- d. Do you maintain records of changes in product labels? Yes No
- e. Are all instructions, operating manuals, advertisements and warranties periodically reviewed by legal counsel to avoid misunderstanding relative to product safety or intended use? Yes No
- f. Are your products designed, tested, labeled and manufactured to meet or exceed all applicable government and industry standards? Yes No
- g. List your membership in any industry product-standard organizations: _____
- h. Do you have a specific program to withdraw known or suspected defective products from the market? Yes No
- i. Have you ever recalled or are you considering recalling any known or suspected defective products from the market? Yes No

7. LIMITS:

	LIMITS REQUESTED	PRESENT INSURANCE
a. Limits of Liability:	\$ _____	\$ _____
b. Deductible S.I.R.	\$ _____	\$ _____
c. Retroactive Date:	_____	
d. Expiring Premium:	\$ _____	
e. Present Insurer:	_____	
f. Has any Insurer ever cancelled, restricted or refused to renew your product liability insurance? If yes, please attach details.		Yes <input type="checkbox"/> No <input type="checkbox"/>

State Notices: The following notices are required by the Insurance Department of the indicated states.

WARNING: Any person who knowingly, and with intent to defraud any insurance company or other person, files an application for insurance containing any false information, or conceals for purpose of misleading information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime.

NOTICE TO ARKANSAS APPLICANTS: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

NOTICE TO ARIZONA APPLICANTS: For your protection Arizona law requires the following statement to appear on this form. "Any person who knowingly presents a false or fraudulent claim for payment of a loss is subject to criminal and civil penalties."

NOTICE TO COLORADO APPLICANTS: It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claiming with regard to a settlement or award payable for insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

NOTICE TO DISTRICT OF COLUMBIA APPLICANTS: WARNING: It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits if false information materially related to a claim was provided by the applicant.

NOTICE TO FLORIDA APPLICANTS: Any person who knowingly and with intent to injure, defraud, or deceive any insurance company files a statement of claim containing false, incomplete or misleading information is guilty of a felony of the third degree.

NOTICE TO HAWAII APPLICANTS: For your protection, Hawaii law requires you to be informed that presenting a fraudulent claim for payment of a loss or benefit is a crime punishable by fines or imprisonment, or both.

NOTICE TO KENTUCKY APPLICANTS: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

NOTICE TO LOUISIANA APPLICANTS: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

NOTICE TO MAINE APPLICANTS: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties may include imprisonment, fines, or denial of insurance benefits.

NOTICE TO MARYLAND APPLICANTS: Any person who knowingly and willfully presents a false or fraudulent claim for payment of a loss or benefit or who knowingly and willfully presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

NOTICE TO NEW JERSEY APPLICANTS: Any person who includes any false or misleading information on an application for an insurance policy is subject to criminal and civil penalties.

NOTICE TO NEW MEXICO APPLICANTS: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to civil fines and criminal penalties.

NOTICE TO NEW YORK APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME, AND SHALL ALSO BE SUBJECT TO A CIVIL PENALTY NOT TO EXCEED FIVE THOUSAND DOLLARS AND THE STATED VALUE OF THE CLAIM FOR EACH SUCH VIOLATION.

NOTICE TO OKLAHOMA APPLICANTS: WARNING: Any person who knowingly, and with intent to injure, defraud or deceive any insurer, makes any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony.

NOTICE TO OREGON APPLICANTS: Any person who knowingly and willfully presents a false or fraudulent claim for payment of a loss or benefit or who knowingly and willfully presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

NOTICE TO PENNSYLVANIA APPLICANTS: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

NOTICE TO TENNESSEE APPLICANTS: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

NOTICE TO VIRGINIA APPLICANTS: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

NOTICE TO WASHINGTON APPLICANTS: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

NOTICE TO WEST VIRGINIA APPLICANTS: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

THE UNDERSIGNED DECLARES THAT TO THE BEST OF THEIR KNOWLEDGE AND BELIEF THE STATEMENTS SET FORTH HEREIN ARE TRUE. THE SIGNING OF THIS APPLICATION DOES NOT BIND THE UNDERSIGNED TO PURCHASE INSURANCE, NOR DOES REVIEW OF THE APPLICATION BIND THE INSUROR TO ISSUE A POLICY. IT IS AGREED, HOWEVER, THAT THIS APPLICATION SHALL BE THE BASIS OF THE CONTRACT SHOULD A POLICY BE ISSUED.

SIGNED BY:

Applicant

Date

Producer

Date

CONTINUED

NOTICE:

- 1. THE INSURANCE POLICY THAT YOU ARE APPLYING TO PURCHASE IS BEING ISSUED BY AN INSURER THAT IS NOT LICENSED BY THE STATE OF CALIFORNIA. THESE COMPANIES ARE CALLED “NONADMITTED” OR “SURPLUS LINE” INSURERS.**
- 2. THE INSURER IS NOT SUBJECT TO THE FINANCIAL SOLVENCY REGULATION AND ENFORCEMENT THAT APPLY TO CALIFORNIA LICENSED INSURERS.**
- 3. THE INSURER DOES NOT PARTICIPATE IN ANY OF THE INSURANCE GUARANTEE FUNDS CREATED BY CALIFORNIA LAW. THEREFORE, THESE FUNDS WILL NOT PAY YOUR CLAIMS OR PROTECT YOUR ASSETS IF THE INSURER BECOMES INSOLVENT AND IS UNABLE TO MAKE PAYMENTS AS PROMISED.**
- 4. CALIFORNIA MAINTAINS A LIST OF ELIGIBLE SURPLUS LINE INSURERS APPROVED BY THE INSURANCE COMMISSIONER. ASK YOUR AGENT OR BROKER IF THE INSURER IS ON THAT LIST, OR VIEW THAT LIST AT THE INTERNET WEB SITE OF THE CALIFORNIA DEPARTMENT OF INSURANCE:www.insurance.ca.gov.**
- 5. FOR ADDITIONAL INFORMATION ABOUT THE INSURER YOU SHOULD ASK QUESTIONS OF YOUR INSURANCE AGENT, BROKER, OR “SURPLUS LINE” BROKER OR CONTACT THE CALIFORNIA DEPARTMENT OF INSURANCE, AT THE FOLLOWING TOLL-FREE TELEPHONE NUMBER: 1-800-927-4357.**
- 6. IF YOU, AS THE APPLICANT, REQUIRED THAT THE INSURANCE POLICY YOU HAVE PURCHASED BE BOUND IMMEDIATELY, EITHER BECAUSE EXISTING COVERAGE WAS GOING TO LAPSE WITHIN TWO BUSINESS DAYS OR BECAUSE YOU WERE REQUIRED TO HAVE COVERAGE WITHIN TWO BUSINESS DAYS, AND YOU DID NOT RECEIVE THIS DISCLOSURE FORM AND A REQUEST FOR YOUR SIGNATURE UNTIL AFTER COVERAGE BECAME EFFECTIVE, YOU HAVE THE RIGHT TO CANCEL THIS POLICY WITHIN FIVE DAYS OF RECEIVING THIS DISCLOSURE. IF YOU CANCEL COVERAGE, THE PREMIUM WILL BE PRORATED AND ANY BROKER’S FEE CHARGED FOR THIS INSURANCE WILL BE RETURNED TO YOU.**

Date: _____
Insured: _____